



***ROBERT A. STEINBERG, ESQ.***  
***(Securities)***



Mr. Steinberg's mediation practice focuses on business-related cases. He is known for his technical legal expertise, his innovative solutions to difficult business disputes, and his insight into parties' motivations.

Mr. Steinberg has represented numerous companies in their public equity and debt offerings under the Securities Act, ongoing compliance with federal securities laws under the Securities Exchange Act, and private placement of securities under various exemptions. He has prepared filings in all fifty states under state "Blue Sky" laws and has been involved in "insider trading," "short-swing profits," and securities and commodities fraud claims against officers, directors and broker/dealers. He also frequently advised clients as to whether they were selling a "security" under relevant law.

### **Representative Securities Cases**

- Several securities fraud claims based on alleged misrepresentations contained in offeror's disclosure documents.
- Securities fraud claim based on founder's alleged misrepresentations to employees receiving equity compensation.
- Investment banker claim for contingent equity compensation payable by public company.

### **Career Highlights**

- Mr. Steinberg has successfully resolved hundreds of mediated cases. In his twenty-five year career as a corporate, securities and tax lawyer and as a litigator, Mr. Steinberg handled billions of dollars in transactions and obtained tens of millions of dollars in tax refunds.
- As partner-in-charge of the Los Angeles office of an international law firm, Mr. Steinberg increased annual distributable income per partner by more than \$300,000 in fewer than four years.
- 150 of Mr. Steinberg's partners honored him with an award for "Outstanding Teamwork and Collegiality."
- Mr. Steinberg has extensive experience with multinational and multicultural matters.

Mr. Steinberg has published more than fifty articles and presented more than thirty seminars on settlement advocacy, case evaluation, negotiation strategy and tactics, and mediation. He received his undergraduate degree from the University of Michigan (Honors in English), his law degree from Duke University, and his Masters of Law (in Taxation) degree from New York University.